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Date: October 13, 2004

Name: Melissa Scanzillo

Signature: Melissa Scanzillo

Clifford Chance US LLP

Docket No. 3499-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew F. Silverman et al.

Filed: October 6, 2000 Group Art Unit: 3624

Serial No: 09/680,771 Examiner: Jagdish Patel

For: ORDER CENTRIC TRACKING SYSTEM AND PROTOCOL FOR COMMUNICATIONS WITH HANDHELD TRADING UNITS

Response to Restriction Requirement

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Action of September 29, 2004, please amend the above-identified patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 6 of this paper.